

Our File: ALA-PT012

Date: July 6, 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the **PATENT APPLICATION** of:

Stager et al.

Application No.: 10/772,643

Confirmation No.:

5771

Filed:

February 5, 2004

For: METHOD AND SYSTEM FOR

MAINTAINING DATA IN A CONTINUOUS

DATA PROTECTION SYSTEM

Group:

2171

Examiner:

Not Yet Known

REQUEST FOR CORRECTED FILING RECEIPT AND SUBMISSION OF SUPPLEMENTAL APPLICATION DATA SHEET UNDER 37 C.F.R. §1.76(c)

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Enclosed is a marked-up copy of the filing receipt for the above-identified patent application. Upon proofing, it was noted that the filing receipt was incorrect. There are two changes that need to be made.

With respect to the second named inventor, after "Donald Alvin" insert -- Trimmer--.

Applicant: Stager et al. Application No.: 10/772,643

With respect to the Domestic Priority, please insert where appropriate --This application claims benefit of 60/541,626 02/04/2004

And claims benefit of 60/542,011 02/05/2004--.

Also enclosed in support of this request is a Supplemental Application Data Sheet under 37 C.F.R. §1.76(c).

Applicants respectfully request that a corrected Filing Receipt be issued.

Respectfully submitted,

Stager et al.

John Donch Jr.

Registration No. 43,593

(215) 568-6400

Volpe and Koenig, P.C. United Plaza, Suite 1600 30 South 17th Street Philadelphia, PA 19103

JCD/dmr Enclosures (2)

BEST AVAILABLE COP



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/772,643	02/05/2004	2171	385	ALA-PT012	7	19	3

3624 VOLPE AND KOENIG, P.C. UNITED PLAZA, SUITE 1600 30 SOUTH 17TH STREET PHILADELPHIA, PA 19103

RECEIVED ME/MA

MAY 1 6 2001

Trimmer

D3900012535264*

FILING RECEIPT

VOLPE & KOENIG, P.

JUL 0 9 2004 Date Mailed: 05/05/2004

CONFIRMATION NO. 5771

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Roger Keith Stager, Livermore, CA; Donald Alvin, Livermore, CA; Pawan Saxena, Pleasanton, CA; Randall Johnson, Pleasant Grove, UT; Craig Anthony Johnston, Livermore, CA; Yafen Peggy Chang, Fremont, CA; Rico Blaser, San Francisco, CA;

Assignment For Published Patent Application

Alacritus, Inc., Pleasanton, CA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 05/05/2004

This application claims benefit of 60/541,626 00/04/2004

and claims benefit

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

7/5/04 Request for Corrected Tiling Receipt

** SMALL ENTITY **

BEST AVAILABLE COPY

Title

Method and system for maintaining data in a continuous data protection system

Preliminary Class

707

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



SUPPLEMENTAL APPLICATION DATA SHEET UNDER 37 CFR §1.76(c)

(1) Inventor Information

Inventor One Given Name:: Roger Keith

Family Name:: Stager

Postal Address Line One:: 683 Quail Court

City:: Livermore
State or Province:: California
Postal or ZIP Code:: 94550

Citizenship Country:: United States of America Residence:: Livermore, California, U.S.A.

<u>Inventor Two Given Name::</u> <u>Donald Alvin</u> Family Name:: Trimmer

Postal Address Line One:: 2687 Quail Court

City:: Livermore State or Province:: California Postal or ZIP Code:: 94550

Citizenship Country:: United States of America Residence:: Livermore, California, U.S.A.

Inventor Three Given Name:: Pawan Family Name:: Saxena

Postal Address Line One:: 4261 Diavila Avenue

City:: Pleasanton
State or Province:: California
Postal or ZIP Code:: 94588

Citizenship Country:: United States of America Residence:: Pleasanton, California, U.S.A.

Inventor Four Given Name:: Randall Family Name:: Johnson

Postal Address Line One:: 252 North 850 East City:: Pleasant Grove

State or Province:: Utah Postal or ZIP Code:: 84062

Citizenship Country:: United States of America

Residence:: Pleasant Grove, California, U.S.A.

Inventor Five Given Name:: Craig Anthony

Family Name:: Johnston

1672 Embassy Circle Postal Address Line One::

City:: Livermore California State or Province::

Postal or ZIP Code:: 94550

Citizenship Country:: United States of America Residence:: Livermore, California, U.S.A.

Inventor Six Given Name:: Yafen Peggy

Family Name:: Chang

47120 Zapotec Drive Postal Address Line One::

Fremont City:: State or Province:: California Postal or ZIP Code:: 94539

United States of America Citizenship Country:: Residence:: Fremont, California, U.S.A.

Inventor Seven Given Name:: Rico Family Name:: Blaser

1309-F Gateview Avenue Postal Address Line One::

City:: San Francisco State or Province:: California

Postal or ZIP Code:: 94130

Citizenship Country:: United States of America

San Francisco, California, U.S.A. Residence::

(2)**Assignee Information**

Name Line One:: Alacritus, Inc.

Address Line One:: 3825 Hopyard Road

Address Line Two:: Suite 275 Pleasanton City:: State or Province:: California

Postal or ZIP Code:: 94588

(3) Correspondence Information

Customer No.::

3624

Name Line One::

John C. Donch Jr.

Name Line Two::

Volpe and Koenig, P.C.

Telephone No.::

215-568-6400

(4) Application Information

Title Line One::

METHOD AND SYSTEM FOR MAINTAINING

Title Line Two::

DATA IN A CONTINUOUS DATA PROTECTION

Title Line Three::

SYSTEM

Total Drawing Sheets::

Seven (7)

Drawing Type::
Application Type::

Formal Utility

Docket No.::

ALA-PT012

(5) Representative Information

Representative Customer No.:: 3624

(6) Domestic Priority Information

This application is a::

Non Prov. of Provisional of

Title Line One::

METHOD AND SYSTEM FOR CONTINUOUS

Title Line Two::

DATA PROTECTION

Application One::

60/541,626

Filing Date::

February 4, 2004

This application is a::

Non Prov. of Provisional of

Title Line One::

CONTINUOUS DATA PROTECTION IN A

Title Line Two::

COMPUTER SYSTEM

Application Two::

60/542,011

Filing Date::

February 5, 2004